

JCWSCS 01 JUL 2004

Jul-01-04 09:39am From:WOODCOCK WASHBURN 33

+2155683439

T-737 P.01/07 F-173



FACSIMILE

DATE: July 1, 2004
OFFICIAL PAPER

Please deliver this and the following pages to:

U.S.P.T.O. Group Art Unit: **Official of Initial Patent Examination**
Telecopier No.: **703-746-9195**
U.S. Serial No.: **10/811,585**
Client/Matter No.: **BELL-0340**
Sender's Name: **Janis Calvo**
Pages to Follow: **6**

If transmission is not complete, please call our Philadelphia Office at (215) 568-3100.

COVER MESSAGE:

OFFICIAL FACSIMILE. PLEASE DELIVER TO EXAMINER IMMEDIATELY.

Attached hereto is/are the following documents:

- 1) **Request for Corrected Filing Receipt**
- 2) **Copy of Filing Receipt dated 6/23/2004 showing correction written in**
- 3) **Copies of pages 1 and 2 of Preliminary Amendment filed 3/29/2004**

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERY OF THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. THANK YOU

WOODCOCK WASHBURN LLP
A Partnership Including Professional Corporations
www.woodcock.com

PHILADELPHIA
One Liberty Place, 46th Floor
Philadelphia, PA 19103
215-568-3100
Fax: 215-568-3439
SEATTLE
999 Third Avenue, Suite 1606
Seattle, WA 98104
206-332-1380
Fax: 206-624-7317

JOB CODE: 56960

DOCKET NO.: BELL-0340/00379 C1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Jeffrey A. Aaron and Thomas Anschutz

Application No.: 10/811,585

Filing Date: March 29, 2004

Confirmation No.: 2073

Group Art Unit: 2135

Examiner: To Be Assigned

For: FIREWALL SYSTEM AND METHOD VIA FEEDBACK FROM BROAD-
SCOPE MONITORING FOR INTRUSION DETECTION

CERTIFICATE OF FACSIMILE TRANSMISSION

DATE: July 1, 2004

I HEREBY CERTIFY THAT THIS PAPER IS BEING
FACSIMILE TRANSMITTED TO THE PATENT AND
TRADEMARK OFFICE TO FACSIMILE NUMBER 703-
746-9195 ON THE DATE LISTED ABOVE

Jonathan M. Waldman REG NO 40,861

Office of Initial Patent Examination
Customer Service Center
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Attention:

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a Corrected Filing Receipt is respectfully requested. The requested changes are noted thereon, as well as listed below.
2. There is an error with respect to the following data which is:
 - ☐ incorrectly entered; and/or
 - ☒ omitted.

DOCKET NO.: BELL-0340/00379 C1 - 2 -

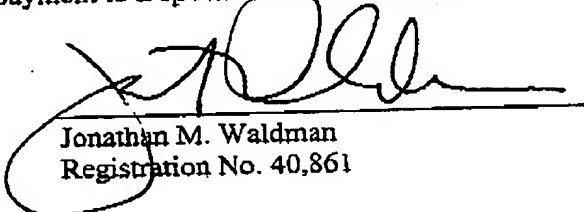
PATENT

Error in	Correct data
Domestic Priority Information was omitted	Domestic Priority Data As Claimed By Applicant: This application is a CONTINUATION of S.N. 10/108,078 filed March 26, 2002, U.S. Pat. No. 6,715,084

Applicant submitted a Preliminary Amendment with the continuation application on March 29, 2004, in which the application was amended to include the priority information. A copy of pages 1 and 2 of that Preliminary Amendment, setting forth the claim for priority, are submitted herewith.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

Date: July 1, 2004


Jonathan M. Waldman
Registration No. 40,861

Woodcock Washburn LLP
One Liberty Place - 46th Floor
Philadelphia PA 19103
Telephone: (215) 568-3100
Facsimile: (215) 568-3439

© 2004 WW



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Assistant Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22304-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/811,585	03/29/2004	2135	770	BELL-0340/00379 C1	5	20	3

CONFIRMATION NO. 2073

23377

WOODCOCK WASHBURN LLP
ONE LIBERTY PLACE, 46TH FLOOR
1650 MARKET STREET
PHILADELPHIA, PA 19103

FILING RECEIPT



OC000000012925939

Date Mailed: 06/23/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jeffrey A. Aaron, Atlanta, GA;
Thomas Anschutz, Conyers, GA;

Domestic Priority data as claimed by applicant

Continuation of 10/108,078 filed 03/26/02 US Pat. 6,715,084

Foreign Applications

If Required, Foreign Filing License Granted: 05/10/2004

Projected Publication Date: 09/29/2005

Non-Publication Request: No

Early Publication Request: No

RECEIVED

JUN 30 2004

Mary Ann Devine
Woodcock Washburn

Title

Firewall system and method via feedback from broad-scope monitoring for intrusion detection

Preliminary Class

713

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

DOCKET NO.: BELL-0340/00379 C1
Application No.: Not yet assigned
Preliminary Amendment - First Action Not Yet Received

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Jeffrey A. Aaron; Thomas Anschutz
Application No.: Not yet assigned
Filing Date. Herewith

Confirmation No.: Not yet assigned
Group Art Unit: Not yet assigned
Examiner: Not yet assigned

For: **FIREWALL SYSTEM AND METHOD VIA FEEDBACK FROM BROAD-
SCOPE MONITORING FOR INTRUSION DETECTION**

EXPRESS MAIL LABEL NO: EL999292037US
DATE OF DEPOSIT: March 29, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

PRELIMINARY AMENDMENT PURSUANT TO 37 CFR § 1.115

Preliminary to examination of the above-captioned patent application, please amend
the application as follows:

- ☒ Amendments to the Specification begin on page 2 of this paper.
- ☒ Amendments to the Claims are reflected in the listing of the claims which begins on page 3 of this paper.
- ☐ Amendments to the Drawings begin on page _____ of this paper and include an attached replacement sheet.
- ☒ Remarks/Arguments begin on page 6 of this paper.

PATENT

DOCKET NO.: BELL-0340/00379 C1
Application No.: Not yet assigned
Preliminary Amendment - First Action Not Yet Received

Amendments to the Specification:

Please add the following new paragraph following the title and before the section entitled "FIELD OF THE INVENTION":

REFERENCE TO RELATED APPLICATIONS

This application claims priority to U.S. patent application No. 10/108,078, filed March 26, 2002, incorporated herein by reference in its entirety.